

# Learning Goals

- State the 3-DIMENSIONAL MATCHING problem
- Understand the reduction from 3-SAT to 3-DIMENSIONAL MATCHING
- Define graph coloring and the chromatic number of a graph
- State the 3-COLORING problem

# 3-Dimensional Matching

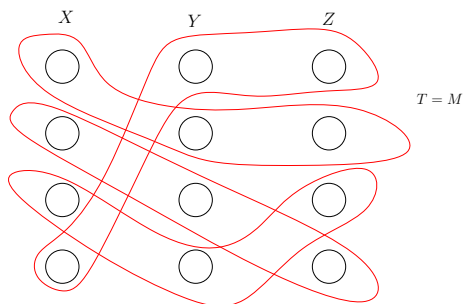
## Definition

Given disjoint sets  $X$ ,  $Y$  and  $Z$ , each of size  $n$ , and given a set  $T \subseteq X \times Y \times Z$  of ordered triples, a *3-dimensional matching* is a set  $M$  of  $n$  triples in  $T$  so that each element of  $X \cup Y \cup Z$  is contained in exactly one triple in  $M$ .

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*3-DIMENSIONAL MATCHING*  $\in$  NP:

- certificate:  $M \subseteq T$ ;
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We will show  $3\text{-SAT} \leq_p 3\text{-DIMENSIONAL MATCHING}$ .

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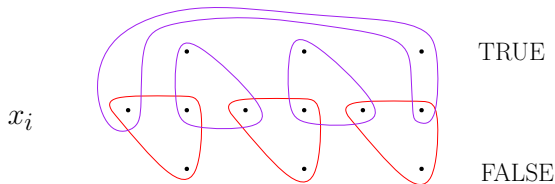
$x_i$                     •       •       •       •       •       •

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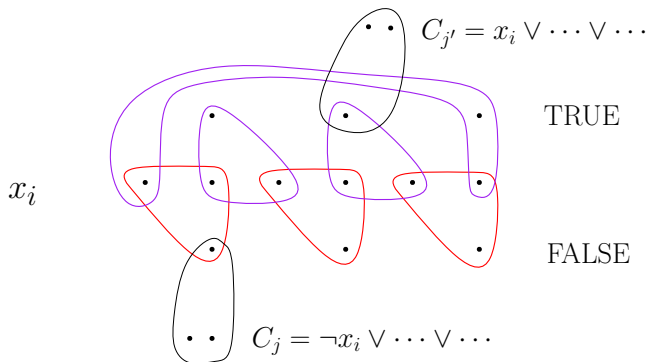
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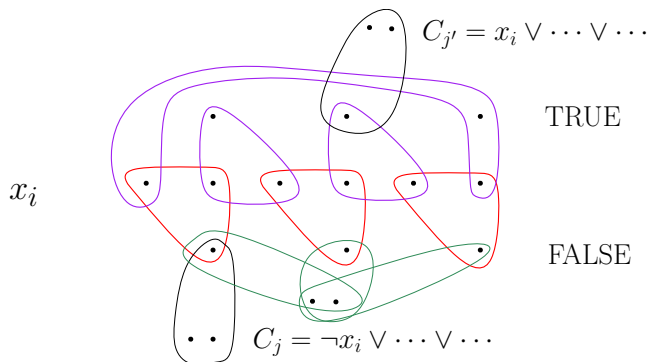


## Incorporating Clauses



Add two nodes for each clause  $j$ . If  $x_i$  is in the clause, add a triple including the two clause nodes and the  $j^{\text{th}}$  “TRUE node” of  $x_i$ ; if  $\neg x_i$  is in the clause, add a triple including the two clause nodes and the  $j^{\text{th}}$  “FALSE node” of  $x_i$ .

## Adding cleanup nodes



Each clean up gadget consists of two cleanup nodes; add a triple for each TRUE or FALSE node of each  $x_i$ . How many of these are needed? To be calculated.

# Putting things together

Given a 3-SAT formula with  $m$  clauses, we construct a 3-DIMENSIONAL MATCHING instance:

- Node set: (we first describe all the nodes and partition them into  $X, Y, Z$  later)
  - For each variable  $x_i$ , create nodes  $a_{i,1}, \dots, a_{i,2m}, T_{i,1}, \dots, T_{i,m}$  and  $F_{i,1}, \dots, F_{i,m}$ ;
  - For each clause  $j$ , create nodes  $c_{j1}$  and  $c_{j2}$ ;
  - Create a number of cleanup gadgets; the  $k^{\text{th}}$  cleanup gadget has two nodes  $q_{k1}$  and  $q_{k2}$ .

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- Triple set  $T$ :
  - For each  $x_i$ , for  $j = 1, \dots, m$ , create triples  $(a_{i,2j-1}, a_{i,2j}, F_{i,j})$  and  $(a_{i,2j}, a_{i,2j+1}, T_{i,j})$  (interpreting  $a_{i,2m+1}$  as  $a_{i,1}$ )
  - For each clause  $j$ , for each positive literal  $x_i$  in it, add triple  $(c_{j1}, c_{j2}, T_{ij})$ ; for each negative literal  $\neg x_i$  in the clause, add triple  $(c_{j1}, c_{j2}, F_{ij})$ ;
  - For each cleanup gadget  $k$ , for each  $x_i$  and clause  $j$ , add  $(q_{k1}, q_{k2}, T_{ij})$  and  $(q_{k1}, q_{k2}, F_{ij})$ .

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  - Therefore we need  $m(n - 1)$  cleanup gadgets.
- Partition the nodes into  $X, Y, Z$ .
  - All the odd numbered “ $a, c, q$ ” nodes form  $X$ ;
  - All the even numbered “ $a, c, q$ ” nodes form  $Y$ ;
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## Claim

The 3-SAT formula is satisfiable if and only if the instance we constructed has a 3-dimensional matching.

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## Proposition

$\chi(G) \leq 2$  if and only if  $G$  is bipartite, and this can be checked in linear time.

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### Theorem

*3-COLORING is NP-complete.*

Proof omitted. (Optional reading.)



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For a (simple) graph  $G = (V, E)$ , its *complement graph*  $\overline{G}$  is has the same node set  $V$ , and any two distinct vertices  $u$  and  $v$  are adjacent in  $\overline{G}$  if and only if they are not adjacent in  $G$ .

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In graph theory, the size of a largest independent set of a graph  $G$  is called its *independence number*, often denoted as  $\alpha(G)$ .

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$$\chi(G) \geq \alpha(\overline{G}).$$

## Exercises on coloring

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## Proof.

An independent set of size  $k$  in  $\overline{G}$  is a *clique* of size  $k$  in  $G$ , i.e., every pair of these  $k$  nodes are connected.  $k$  colors are needed for them.  $\square$

## Proposition (Exercise)

3-COLORING  $\leq_P$   $k$ -COLORING

## Proof.

Given a graph  $G$ , add  $k - 3$  nodes to  $G$ , all connected with each other and all connected with each node in  $G$ . The new graph is  $k$  colorable if and only if  $G$  is 3-colorable.  $\square$

## Exercises

## Question

Give a graph  $G$  where  $\chi(G) > \alpha(\overline{G})$ .

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In the 3-EXACT COVER problem, we are given a universe  $U$  and a list of subsets  $S_1, \dots, S_m \subseteq U$  each of cardinality 3. We must decide whether there exists a subset of these subsets that cover each element in  $U$  exactly once. Show that 3-EXACT COVER is NP complete.

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(Assuming  $NP \neq P$ .)

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  - 2 Given  $m$  paths  $P_1, \dots, P_m$  from  $s$  to  $t$ , does there exist at least  $k$  paths among  $P_1, \dots, P_m$  that are edge-disjoint?

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