## Learning Goals

- Define polynomial-time reductions.
- Understand consequences of polynomial-time reductions in terms of tractability of problems.
- Define decision problems and to use decision problems to solve optimization problems.
- Define independent sets, vertex coversi.
- State the decision problems INDEPENDENT SET, VERTEX COVER and SET COVER
- Understand the reductions between the three problems.


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Example: Image Segmentation $\leq_{p}$ min cut

## Consequences of polynomial-time reductions

## Proposition

If $Y \leq_{p} X$, then
(1) If $X$ can be solved in polynomial time, then $Y$ can be as well;
(2) If $Y$ cannot be solved in polynomial time, then $X$ cannot be either.

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Example: Since Min Cut can be solved in polynomial time, so is Image Segmentation.

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- Generally, optimization problems can be poly-time reduced to their decision versions via binary search.
- For maximization problems, there are often a natural upper bound $U$ and a natural lower bound $L$;
- Use a black box oracle to the decision version allows one to perform binary search between $L$ and $U$.


## Karp reductions

## Definition

Given two decision problems $A$ and $B$, a Karp reduction is a polynomial-time algorithm $\varphi$ with

- Input: an instance a of $A$
- Output: an instance $\varphi(a)$ of $B$
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- A Karp reduction calls the oracle for $B$ only once.
- In this class we always do Karp reductions.


## Independent Set

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## Definition

In the INDEPENDENT SET problem, we are given an undirected graph $G=(V, E)$ and an integer $k$. We must answer whether $G$ has an independent set of size at least $k$.

## Vertex Cover

## Definition

Given an undirected graph $G=(V, E)$, a set of nodes $S \subseteq V$ is a vertex cover if every edge is incident to at least one node in $S$.

Recall Question 4 of Problem Set 2.


## VERTEX COVER

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## Polynomial-time reduction example

## Proposition <br> INDEPENDENT SET $\leq_{p}$ VERTEX COVER. VERTEX COVER $\leq_{p}$ INDEPENDENT SET.

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## Lemma

For any graph $G=(V, E)$, if $S \subseteq V$ is an independent set, then $V-S$ is a vertex cover.

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## Lemma

For any graph $G=(V, E)$, if $S \subseteq V$ is an independent set, then $V-S$ is a vertex cover.

## Proof.

For any edge $e=(u, v) \in E$, either $u \notin S$ or $v \notin S$ (otherwise $S$ cannot be independent).

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For any edge $e=(u, v) \in E$, either $u \notin S$ or $v \notin S$ (otherwise $S$ cannot be independent).
Therefore $V-S$ covers every edge, i.e., it is a vertex cover.

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For any two vertices $u, v \in V-S$, there cannot be an edge $(u, v)$ (otherwise $S$ is not a vertex cover.

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There is an independenset set of size at least $k$ if and only if there is a vertex cover of size at most $|V|-k$.

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## Proof.

There is an independenset set of size at least $k$ if and only if there is a vertex cover of size at most $|V|-k$. The Karp reduction from INDEPENDENT SET to VERTEX COVER:
(1) Input: graph $G=(V, E)$ and integer $k$.
(2) Output (as an instance of VERTEX COVER): same graph $G$ and integer $|V|-k$.

## Review of last lecture

- Definition: Polynomial-time reduction
- If $A \leq_{\mathrm{p}} B$, then $B$ is "harder" than $A$.
- Definition: Decision problems, Karp reductions
- Definition: Independent set, vertex cover
- INDEPENDENT SET $\leq_{p}$ VERTEX COVER; VERTEX COVER $\leq_{p}$ INDEPENDENT SET


## SET COVER

## Definition

In the SET COVER problem, we are given a set $U$ of $n$ elements, a collection $S_{1}, \cdots, S_{m}$ of subsets of $U$, and a number $k$, and we must answer whether there is a collection of at most $k$ of these sets whose union is equal to $U$.


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## VERTEX COVER $\leq$ p SET COVER.

## Proof.

Given a VERTEX COVER problem $G=(V, E)$ and integer $k$, create the following SET COVER instance:

- $U=E$;
- For every vertex $v \in V$, create a set $S_{v} \subseteq U$ which is the set of edges incident to $v$.


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- $U=E$;
- For every vertex $v \in V$, create a set $S_{v} \subseteq U$ which is the set of edges incident to $v$.
There is a vertex cover in $G$ of size at most $k$ if and only if there is a set cover of size at most $k$ in the instance we created.


## Last remark

## Remark

This is a reduction from a special problem to a more general problem. A VERTEX COVER problem is precisely a SET COVER problem when every element of $U$ is contained in two given subsets.

