Learning Goals

- Understand the design idea of skip lists
- Carry out more involved probabilistic runtime analysis using Chernoff bound and union bound
- Understand the idea of SkipNet in Peer-to-Peer systems



• Problem with storing ordered data with linked list: FIND takes O(n) time.



- Problem with storing ordered data with linked list: FIND takes O(n) time.
- Imagine building faster links among the nodes:
 - At the bottom level L_0 , we have the original linked list, sorted;

- Problem with storing ordered data with linked list: FIND takes O(n) time.
- Imagine building faster links among the nodes:
 - At the bottom level L_0 , we have the original linked list, sorted;
 - One level above, at L_1 , we have a linked list storing every other node, also sorted, with $\lfloor n/2 \rfloor$ nodes;

- Problem with storing ordered data with linked list: FIND takes O(n) time.
- Imagine building faster links among the nodes:
 - At the bottom level L_0 , we have the original linked list, sorted;
 - One level above, at L_1 , we have a linked list storing every other node, also sorted, with $\lfloor n/2 \rfloor$ nodes;
 - One level above, at L_2 , we have a linked list storing every four node from L_0 , or every other node from L_1 , also sorted, with $\lfloor n/4 \rfloor$ nodes, etc..
- Each copy of the node in L_i stores pointers to its copies in L_{i-1} and L_{i+1} (if they exist), and also the nodes the precede and follow it in L_i .



Skip List: Illustration

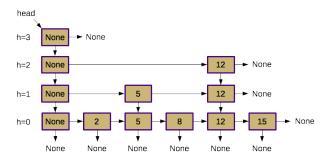


Image credit: Mike Lam at James Madison University



- Now FIND takes time $O(\log n)$.
 - The highest level is L_H , where $H = \lceil \log n \rceil$.

- Now FIND takes time $O(\log n)$.
 - The highest level is L_H , where $H = \lceil \log n \rceil$.
 - To find a key x, first walk in L_H as far as we can, finding the largest node whose key is still less than x;

- Now FIND takes time $O(\log n)$.
 - The highest level is L_H , where $H = \lceil \log n \rceil$.
 - To find a key x, first walk in L_H as far as we can, finding the largest node whose key is still less than x;
 - Then walk down one level from that copy, and continue walking till we find again the node in level L_{H-1} with the largest key that is still smaller than x;

- Now FIND takes time $O(\log n)$.
 - The highest level is L_H , where $H = \lceil \log n \rceil$.
 - To find a key x, first walk in L_H as far as we can, finding the largest node whose key is still less than x;
 - Then walk down one level from that copy,and continue walking till we find again the node in level L_{H-1} with the largest key that is still smaller than x;
 - Repeat, until we reach the node with x in level L_0 .
- In actual implementation, we may store only the keys in levels other than L_0 , and store the actual content only in nodes of L_0 .

- Now Find takes time $O(\log n)$.
 - The highest level is L_H , where $H = \lceil \log n \rceil$.
 - To find a key x, first walk in L_H as far as we can, finding the largest node whose key is still less than x;
 - Then walk down one level from that copy,and continue walking till we find again the node in level L_{H-1} with the largest key that is still smaller than x;
 - Repeat, until we reach the node with x in level L_0 .
- In actual implementation, we may store only the keys in levels other than L_0 , and store the actual content only in nodes of L_0 .
- The problem with this data structure is that INSERT and DELETE are very combersome.



• Idea: Use randomization to construct the upper levels.

- Idea: Use randomization to construct the upper levels.
 - When we insert a new node, after we find its position in L_0 and inserting it there, we toss a coin, and with probability $\frac{1}{2}$ insert a copy in L_1 , otherwise stop;

- Idea: Use randomization to construct the upper levels.
 - When we insert a new node, after we find its position in L_0 and inserting it there, we toss a coin, and with probability $\frac{1}{2}$ insert a copy in L_1 , otherwise stop;
 - If we made a copy in L_1 , then toss another coin, insert with probability $\frac{1}{2}$ a copy to level L_2 , etc.

- Idea: Use randomization to construct the upper levels.
 - When we insert a new node, after we find its position in L_0 and inserting it there, we toss a coin, and with probability $\frac{1}{2}$ insert a copy in L_1 , otherwise stop;
 - If we made a copy in L_1 , then toss another coin, insert with probability $\frac{1}{2}$ a copy to level L_2 , etc.
- The expected number of copies we insert for each node is 2.

- Idea: Use randomization to construct the upper levels.
 - When we insert a new node, after we find its position in L_0 and inserting it there, we toss a coin, and with probability $\frac{1}{2}$ insert a copy in L_1 , otherwise stop;
 - If we made a copy in L_1 , then toss another coin, insert with probability $\frac{1}{2}$ a copy to level L_2 , etc.
- The expected number of copies we insert for each node is 2.
- We just need to show that this randomized construction yields similar performance for FIND as the previous deterministic structure.

Randomized Skip List: ILlustration

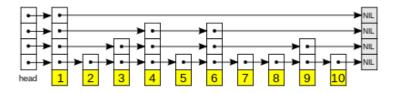


Image credit: Wikipedia

- There are two reasons that a FIND can take long: there can be too many layers, and the find takes too many horizontal steps.
- Let's first bound the number of levels *H*.

- There are two reasons that a FIND can take long: there can be too many layers, and the find takes too many horizontal steps.
- Let's first bound the number of levels *H*.
- The probability that a particular node has a copy at a level at least as high as H is 2^{-H} .

- There are two reasons that a FIND can take long: there can be too many layers, and the find takes too many horizontal steps.
- Let's first bound the number of levels *H*.
- The probability that a particular node has a copy at a level at least as high as H is 2^{-H} .
- By the union bound, when $n2^{-H} \le \frac{1}{n^2}$, i.e., $H \ge 3 \log n$, with probability no more than $\frac{1}{n^2}$, there are no more than H levels.

- There are two reasons that a FIND can take long: there can be too many layers, and the find takes too many horizontal steps.
- Let's first bound the number of levels *H*.
- The probability that a particular node has a copy at a level at least as high as H is 2^{-H} .
- By the union bound, when $n2^{-H} \le \frac{1}{n^2}$, i.e., $H \ge 3 \log n$, with probability no more than $\frac{1}{n^2}$, there are no more than H levels.

• For a fixed node *x*, we try to bound the number of steps it takes to reach *x* via a search path from the top level.

- For a fixed node *x*, we try to bound the number of steps it takes to reach *x* via a search path from the top level.
- It turns out easier to think about the problem when we think of the path from *x* up to the top level.

- For a fixed node *x*, we try to bound the number of steps it takes to reach *x* via a search path from the top level.
- It turns out easier to think about the problem when we think of the path from *x* up to the top level.
- At every step, we go either left or up

- For a fixed node *x*, we try to bound the number of steps it takes to reach *x* via a search path from the top level.
- It turns out easier to think about the problem when we think of the path from *x* up to the top level.
- At every step, we go either left or up
 - If the current node has a copy in the level above, we step up: this
 happens with probability ¹/₂;

- For a fixed node *x*, we try to bound the number of steps it takes to reach *x* via a search path from the top level.
- It turns out easier to think about the problem when we think of the path from *x* up to the top level.
- At every step, we go either left or up
 - If the current node has a copy in the level above, we step up: this
 happens with probability ¹/₂;
 - Otherwise, we step left.

- For a fixed node x, we try to bound the number of steps it takes to reach x via a search path from the top level.
- It turns out easier to think about the problem when we think of the path from *x* up to the top level.
- At every step, we go either left or up
 - If the current node has a copy in the level above, we step up: this
 happens with probability ¹/₂;
 - Otherwise, we step left.
- Once we reach level *H*, we declare success.

- For a fixed node x, we try to bound the number of steps it takes to reach x via a search path from the top level.
- It turns out easier to think about the problem when we think of the path from *x* up to the top level.
- At every step, we go either left or up
 - If the current node has a copy in the level above, we step up: this happens with probability $\frac{1}{2}$;
 - Otherwise, we step left.
- Once we reach level *H*, we declare success.
- The problem becomes: what's the probability that, after taking at least *X* steps, we haven't made *H* upward steps?



Take X to be, say, $36 \log n$, and let Y_i , $i = 1, \dots, X$, be the indicator variable that the i-th step is upward. Let Y be $\sum_i Y_i$.



Take X to be, say, $36 \log n$, and let Y_i , $i=1,\cdots,X$, be the indicator variable that the i-th step is upward. Let Y be $\sum_i Y_i$. By Chernoff bound,

$$\Pr[Y \le 3 \log n] = \Pr[Y \le \mathbb{E}[Y] - 15 \log n]$$

$$\le \exp\left(-\frac{(15 \log n)^2}{2 \cdot 36 \log n}\right) < \frac{1}{n^2}.$$

Take X to be, say, $36 \log n$, and let Y_i , $i=1,\cdots,X$, be the indicator variable that the i-th step is upward. Let Y be $\sum_i Y_i$. By Chernoff bound,

$$\Pr[Y \le 3 \log n] = \Pr[Y \le \mathbb{E}[Y] - 15 \log n]$$

$$\le \exp\left(-\frac{(15 \log n)^2}{2 \cdot 36 \log n}\right) < \frac{1}{n^2}.$$

This analysis was performed for a specific node x. By the union bound, with probability at least $1 - \frac{1}{n}$, no node takes more than $36 \log n$ steps to reach level H.

Take X to be, say, $36 \log n$, and let Y_i , $i=1,\cdots,X$, be the indicator variable that the i-th step is upward. Let Y be $\sum_i Y_i$. By Chernoff bound,

$$\Pr[Y \le 3 \log n] = \Pr[Y \le \mathbb{E}[Y] - 15 \log n]$$

$$\le \exp\left(-\frac{(15 \log n)^2}{2 \cdot 36 \log n}\right) < \frac{1}{n^2}.$$

This analysis was performed for a specific node x. By the union bound, with probability at least $1 - \frac{1}{n}$, no node takes more than $36 \log n$ steps to reach level H.

Now by a final union bound, with probability at least $1 - \frac{2}{n}$, there are no nodes beyond level $L_{3 \log n}$ and every node reaches that level within $36 \log n$ steps. So FIND takes time $O(\log n)$ for every node with high probability.



Application in Distributed Systems: Peer-to-Peer Systems

• A peer-to-peer (P2P) system has *n* nodes, each maintaining a host of connections to its neighbors, and none having global knowledge.

Application in Distributed Systems: Peer-to-Peer Systems

- A peer-to-peer (P2P) system has *n* nodes, each maintaining a host of connections to its neighbors, and none having global knowledge.
 - Keeping everything fully connected is way too expensive.

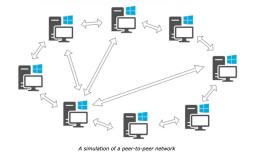


Image credit: mysterium.network

Application in Distributed Systems: Peer-to-Peer Systems

- A peer-to-peer (P2P) system has *n* nodes, each maintaining a host of connections to its neighbors, and none having global knowledge.
 - Keeping everything fully connected is way too expensive.

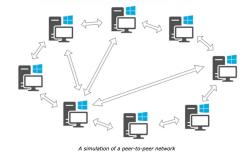


Image credit: mysterium.network

• A request of a node to communicate with another can take O(n) time to traverse the network if we are not careful.

• We can use the idea of skip list to organize nodes in a P2P network.

- We can use the idea of skip list to organize nodes in a P2P network.
- Give each node an *identifier*, similar to the key value of a node in the database.

- We can use the idea of skip list to organize nodes in a P2P network.
- Give each node an *identifier*, similar to the key value of a node in the database.
- Given each node a bitstring of length $O(\log n)$.

- We can use the idea of skip list to organize nodes in a P2P network.
- Give each node an identifier, similar to the key value of a node in the database.
- Given each node a bitstring of length $O(\log n)$.
- There are multiple levels. Nodes sharing the same prefixes of length *k* are connected by an (ordered) linked list on level *k*.

- We can use the idea of skip list to organize nodes in a P2P network.
- Give each node an identifier, similar to the key value of a node in the database.
- Given each node a bitstring of length $O(\log n)$.
- There are multiple levels. Nodes sharing the same prefixes of length k
 are connected by an (ordered) linked list on level k.
- The resulting structure is similar to a skip list, except that on each level there are multiple lists.

- We can use the idea of skip list to organize nodes in a P2P network.
- Give each node an *identifier*, similar to the key value of a node in the database.
- Given each node a bitstring of length $O(\log n)$.
- There are multiple levels. Nodes sharing the same prefixes of length k
 are connected by an (ordered) linked list on level k.
- The resulting structure is similar to a skip list, except that on each level there are multiple lists.
- To access a node, we go as far as possible on a high level, then descend and continue.